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Approved for use through 10/31/2002. OMB 0651-0032 U.S.Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL Submit an original, and a duplicate for fee processing. (Only for Continuation or Divisional applications under 37 CFR 1.53(d))

Assistant Commissioner for Patents

CHECK BOX, if applicable: ☐ DUPLICATE

Attorney Docket No. 454313-2540 of Prior Application RECEIVED First Named Inventor Hunter et al Examiner Name Neil Levy <u>JUL 2 9 200</u> Group/Art Unit 1616 Express Mail Label No. EV 073648459 US TECH CENTER 160**0/**2900

This is a request for a continuation or divisional application under 37 C.F.R. 1.53(d), (continued prosecution application (CPA)) of prior application number 09/381,794 filed on 12/27/99, entitled PROCESS AND MEANS FOR THE ERADICATION OF TICKS IN THE HABITATS OF SMALL MAMMALS NOTES FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. 1.51(b) or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule. 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000). C-I-P. NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 C.F.R 1.53(d), but must be filed under 37 C.F.R. § 1.53(b). EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be 如果 ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of or similar information concerning, the other application or applications in the file jacket. 35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. 1.78(a). WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Enter the unentered amendment, and the declaration, previously filed on November 5, 2001 under 37 C.F.R. 1.116 in the prior nonprovisional application.  $\boxtimes$ A preliminary amendment is enclosed. 3. This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. 1.53(d)(4). **DELETE** the following inventor(s) named in the prior nonprovisional application: The inventor(s) to be deleted are set forth on a separate sheet attached hereto. b. A new power of attorney or authorization of agent (PTO/SB/81) is enclosed. 4. 5. Information Disclosure Statement (IDS) is enclosed:

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Copies of IDS Citations

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[Page 1 of 2]

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PTO/SB/29 (10/00) Approved for use through 10/31/2002. OMB 0651-0032

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CLAIM		(2) NUMBER FILED	NUMBER FILED (3) NUMBER EXTRA (4) RATE		(5) C	(5) CALCULATIONS					
	TOTAL CLAIMS	20 20#									
111	(37 CFR. 1.16(c) or (j))  INDEPENDENT CLAIMS	20 - 20* =	0	x \$ 18	= \$	0.00					
	(37 CFR. 1.16(b) or (i))	1 - 3** =	0	x \$ 84	=	0.00					
	MULTIPLE DEPENDENT C	=									
7											
(37 C.F.R. § 1.16)											
			Total of	above Calculations	= \$	740.00					
4.47	Reduction by 50% for filing b		·								
7.5		ss of 20 and over original pate	nt.	тот	A.T	-					
	Reissue independent o	claims over original patent		ТОТА	AL =   \$	740.00					
5. 🗌 S	Small entity status: Applicat	nt claims small entity	status. See 37 CFR	1.27)							
Depo	Commissioner is hereby autosit Account No. 50-0320  Fees required under 37		rpayments or charge t	he following fee	H	CEIVED					
b.	Fees required under 37	C.F.R. 1.17.			Jl	JL <b>2 9</b> 2002					
c. [ 3. ⊠ 2		Fees required under 37 C.F.R. 1.18.  check in the amount of \$2180.00 is enclosed.  TECH CENTER 1600/29									
_	syment by credit card. Form PTO-2038 is attached.										
_											
	applicant requests suspension of action under 37 CFR 1.103(b) for a period of months not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.										
		ew Attorney Docket Number, if desired ior application Attorney Docket Number has been provided herein.]									
12. a. [ b. [		Receipt For Facsimile Transmitted CPA (PTO/SB/29A)									
espond to	Other: Pursuant to 37 C.F.R of Office Action by four month cost of a four month extension account No. 50-0320.	ns; i.e. up to and includ	ding July 24, 2002. Er	iclosed is a check	in the amoun	of \$1440.00 to					
<u>NOTE</u> :	- 1 # #	n's correspondence a respondence address	7. A.	to this CPA							
		14. NEW CORR	ESPONDENCE ADD	RESS							
Custor	mer Number or Bar Code Label	(Insert Customer No	or Attach bar code label he	or 🛛 No	ew corresponden	ce address below					
Name	Thomas J. Kowalski FROMMER LAWRENCE & HAUG LLP										
Address	745 Fifth Avenue										
City	New York		ate NY	Zip C							
Country	U.S.	Telepho	ne (212) 588-0800		Fax (212) 58	88-0500					
	16 CICAL	ATURE OF ARRIVE	UT ATTORNIBU OF	CENT PROUE	ED						
	15. SIGNATURE OF APPLICANT, ATTORNIEY, OR AGENT REQUIRED										
	Nama (D=i=+/T)	1/4	Manager 1.1. II								
	Name (Print/Type) Signature		Megeratichtan	til.							

July 23, 2002

Date

U.S. Patent and Frademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1965, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## FEE TRANSMITTAL 1 2 3 2002 for FY 2001

Patent fees re subject to annual revision.

CATAFAR ONT OF PAYMENT (\$) 2180.00

Complete if Known							
Application Number	09/381,794	RECEIVE	D				
Filing Date	December 27, 1999						
First Named Inventor	Hunter et al	JUL 2 9 200					
Examiner Name	Neil Levy						
Group/Art Unit	1616	TECH CENTER 1600	/2900				
Attorney Docket No.	454313-2540						

METHOD OF PAYMENT				FEE CALCULATION							
1. 🛛		Commissioner is hereby authorized to charge				3. ADDITIONAL FEES					
Deposit Account Name	indicated fees and credit any over payments to:  Frommer Lawrence & Haug LLP			Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)		Fee Description	Fee Paid	
Deposit			50 0220		105	130	205	65	Surcharge	- late filing fee or oath	
Account Number	ccount 50-0320 umber			127	50	227	25	Surcharge or Cove	- late provisional filing fee		
Character (50, 042, 07, 050)				139	130	139	130		h specification		
Charge any Additional Fee Required  Charge the Issue Fee Set in 37 CFR  1.18 at the Mailing of the Notice of		147	2,520	147	2,520	_	request of reexamination				
Under 37 CFR 1.16 and 1.17  Allowance				112	920*	112	920*	Examine			
	nent Enclosed	_			113	1,840*	113	1,840*		g publication of SIR after er action	
⊠ cr	neck _	Money	Order Other		115	110	215	55	Extension	for reply within first month	
FEE CALCULATION				116	400	216	200	Extension month	for reply within second		
4 54005					117	920	217	460	Extension	for reply within third month	
1. BASIC F	ILING FEE				118	1,440	218	720	Extension Month	for reply within fourth	1440.00
Large Enti		Entity	<b>.</b>		128	1,960	228	980		for reply within fifth month	
Fee Fe Code (\$		Fee (\$)	Fee Description Fee Pai	id	119	320	219	160	Notice of A	ppeal	
101 74	0 201	370	Utility filing fee 740	.00	120	320	220	160	Filing a bri	ef in support of an appeal	
106 33 107 51		165 255	Design filing fee Plant filing fee		121	280	221	140	•	r oral hearing	
108 74		370	Reissue filing fee		138	1,510	138	1,510	Procee	institute a public use ding	
114 16	0 214	80	Provisional filing fee		140	110	240	55	Petition to	revive – unavoidable	
		S	UBTOTAL (1) 740	.00	141	1,280	241	640	Petition to	revive- unintentional	
					142	1,280	242	640	Utility issue	e fee (or reissue)	
2. EXTRA CLAIM FEES			143	460	243	230	Design iss	ue fee			
		xtra Claim	Fee from below Fee Paid		144	620	244	310	Plant issue	fee	
Total Claims 20	-20** =	0	X 18 = 0	.00	122	130	122	130	Petitions to	the Commissioner	
Ind. Claims 1	-3** =	0	X 84.00 = 0	.00	123	50	123	50	Petitions re Applicat	elated to provisional ions	
Multiple Depend	ent		X = 0	.00	126	180	126	180		n of Information Disclosure	
** o	r number previo	usly paid, i	if greater; for reissues, see below		581	40	581	40		each patent assignment per	
Large Enti		Entity			146	740	246	370	property (times number of properties) Filing a submission after final rejection (37 CFR 1.129(a))		
Code (\$		Fee (\$)	Fee Description		149	740	249	370	For each a	dditional invention to be	<del></del>
103 18	3 203	9	Claims in excess of 20		1	•=	- <del></del>	,	examine	d (37 CFR 1.129(b))	
102 84		42	Independent claims in excess of 3								
104 280		140	Multiple dependent claim, if not paid	l	Other fee	(specify)					
109 84	209	42	** Reissue independent claims over original patent		Other fee (specify)						
110 18	3 210	9	** Reissue claims in excess of 20 and over original patent								
107 510	0 207	255	Plant filing fee	_							
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Signature			n on this form may become		<u>~</u>	<u></u>	Date		23/02	Deposit Account	50-0320

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Provide credit card information and authorization on PTO-2038.



## UL 2 3 2002 CERTIFICATE OF MAILING - SEPARATE PAPER - CPA

Conney Docket No.

454313-2540

Title

PROCESS AND MEANS FOR THE ERADICATION OF

TICKS IN THE HABITATS OF SMALL MAMMALS

Filed

December 27, 1999

Applicants

Hunter et al

Date Mailed

July 23, 2002 via "Express Mail Label # EV 073648459 US

I hereby certify that the Continued Prosecution Application for the above-referenced application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to:

**BOX CPA** 

Assistant Commissioner for Patents Washington, D.C. 20231

(Type/printed name of person mailing paper or fee)

Signature

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